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# While we are waiting....

- **This session will be delivered in English only**
  - But I have colleagues from the local office who speak Chinese and can help with questions.
- **Target audience is intermediate level SQL Server professionals**
  - SQL Server experts should feel free to attend other sessions

# Performance tuning for non-beginners but not yet experts

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# Before we begin

- **Goals**

- Understand key performance influencers
- Review common performance monitoring tools and techniques
- How to interpret the data and determine what best practices are relevant to your environment
- Review actual customer performance tuning mistakes

- **Non-goals**

- Make you a SQL Server performance monitoring/tuning expert
- Deep dive on features or tools
  - But feel free to ask questions
- Provide performance troubleshooting magic bullets
- Flashy demos

# Intermediate level session on performance?

- **Plenty of content for beginners**
- **Plenty of content for expert level**
- **Not everybody can jump from A to Z in one step**
- **A lot of the content in this space is too cryptic and confusing for the average Joe**
- **This Joe does not believe you need to be an expert to find performance problems**
  - **Though you might need help fixing them**
    - But at least you know what needs to be fixed
      - And you'll learn to spot real experts vs. those who read a lot of blogs and repeat what they read

# Agenda

- **Understanding performance**
- **Measuring and tracking**
- **Interpreting the data**
- **Practical solutions**

# Agenda

- **Understanding performance**
  - **What is performance**
  - Performance influencers
- **Measuring and tracking**
- **Interpreting the data**
- **Practical solutions**



# What is performance

- **Fulfilling workload demands within a period deemed acceptable or agreed upon by the user<sup>1</sup>**
  - Guaranteed < 2s business transaction response time
  - 130,000 database transactions per second
  - Loading >1 billion rows an hour
  - 18GB/s storage subsystem
  - 75seconds RTO for SQL Server in a Failover Cluster
  - Backup 2TB per hour over the network
  - Restore 1.3TB database in under 30 minutes
  - DBCC DBREINDEX on a 3 billion row table (ok, probably not a good idea)

<sup>1</sup> DBAs are just another class of user



# Performance and scalability

- **Scalability is the level of performance maintained as workload influencers increase**
  - ✓ ERP application
    - 5 users: 2 seconds query response time
    - 700 users: 2.3 seconds query response time
  - ✗ Generate year end report for
    - 300GB database: 85seconds
    - 1.5TB database: 30 minutes

# Agenda

- **Understanding performance**
  - What is performance
  - **Performance influencers**
- **Measuring and tracking**
- **Interpreting the data**
- **Practical solutions**

# Performance influencers

- **User workload**
  - DBAs are a special class of users
- **System & infrastructure resources**
  - Server, storage, interconnects, networks
- **Optimization**
  - Workload and resources sized and configured appropriately for your performance requirements at your require level (scale)
- **Contention**
  - A performance blocker that highlights a system's ability to scale (or not)
- **Environment**
  - Mostly stuff beyond your control like data center, ISP, workload source (e.g. end-user PC)

# Agenda

- Understanding performance
- Measuring and tracking
- Interpreting the data
- Practical solutions

# Measuring and tracking

- **Measures will vary depending on user workload**
  - Accounting will have very different performance metrics from an online trading – financial analyzer metrics are nothing like either of those
- **Different perspective of the same workload will have different measures**
  - Retail POS system
    - Cashier cares about items being scanned and registered quickly
    - Store manager cares about sales totals at any time
    - Loss prevention specialist wants to know quickly if items registered in POS equals to decrease in automated inventory manager

# Measuring and tracking

- **Workload: measures will vary depending on the workload type**
  - Accounting will have very different performance metrics from online trading – financial analyzer metrics are nothing like either of those
- **User: different perspective of the same application will have different measures**
  - Library information system
    - Image recording/scanning clerk cares about fast BLOB inserts
    - Researcher needs fast searches on large data sets across multiple formats
  - Retail POS system
    - Cashier cares about items being scanned and registered quickly
    - Store manager cares about sales totals at any time
    - Loss prevention specialist wants to know quickly if items registered in POS equals to decrease in automated inventory manager

# What to measure and track

- **Different application admins/owners track different performance metrics**
  - Not always aligned with what users care about
- **DBAs have some basic metrics that are “almost” universally helpful**
  - Resource utilization
  - Workload response time
  - Contention and waits
- **Important: performance metrics taken at any point in time without a baseline reference is often not useful**



# Baseline

- **Establishes “known behavior”**
  - Resource utilization levels for a given workload and scale
    - Order entry system with 500 concurrent users consumes x GB RAM, y% sustained average CPU utilization – certified by ISV to scale almost linearly up to 11,000 concurrent users
  - User workload query response times
    - Monday 8am-11am: 2-5 seconds
    - Friday 12pm-4pm: 5-12 seconds
    - Other times: 1-3 seconds
- **Documents acceptable performance – your performance SLA**

# Without a baseline

- **Networks never have problems**
  - We use multiple redundant 10Gb/s switches and average reliability is around 99.98%, what network problem?
- **Storage is never the problem**
  - We have two 2Gb/s ports from your server to the SAN, you are only using 400MB/s, go back and tune your SQL queries
- **End users can “instinctively” tell if performance is bad**
  - Why is it very slow today? It is usually a lot faster. You must have done something wrong during the upgrade.
- **End users’ PCs/laptops are always working fine and nothing has changed (or ever changes)**

# Without a baseline

- Databases are guilty until proven innocent
- Without documented performance metrics and baselines you just can't win
- Especially if you have just performed a major operation (e.g. just upgraded to SQL Server 2008 R2)
- Difficult to convince other parties to investigate their areas (see previous slide)

# Case study 1 – blame the upgrade

- Major medical health care provider
- 3 months upgrade planning and testing
- Successful upgrade over weekend
- Normal operations Monday morning; 6 phone calls and multiple emails starting 130pm
  - Complaints about very slow queries, timeouts, etc...
  - Blames DBAs for botching the upgrade
  - Managers and senior managers put on CC

# Case study 1 – blame the upgrade

- **DBA team reviews database server load and resource utilization**
  - Server not breaking a sweat
  - Network operations center (NOC) says network is healthy
  - Storage monitor shows light loads
  - DBAs run tests from local clients and verified performance metrics very close to baseline
    - Blocker scripts, long running queries, etc... all show nothing suspicious
- **Eliminated database, server, storage – focus on network**
- **2.5 hours later, faulty switch at Mexico office discovered**
  - NOC still says network is ok (apparently local network equipment problems don't count)
  - Other local US user problems not related

# Basic measures independent of applications

- **Storage**
- **Memory**
- **CPU**
- **Network**

# Storage

- **This is the physical foundation of your database**
- **80% deployments (that I've seen or worked on) have sub-optimal or incorrectly configured storage**
  - Including those certified by a storage vendor
- **Always test (SQLIO tool) before deployment**
- **Many counters and waitstats data available to monitor**
- **Start with the basics**
  - IOPS
  - Throughput
  - Latency
  - Queuing



# Storage

Metric	Data source	Considerations
IOPS	Performance Monitor <ul style="list-style-type: none"> <li>Average disk read/write per second</li> </ul>	<ul style="list-style-type: none"> <li>Number of I/O requests per second</li> <li>Type of disk and size can affect this greatly <ul style="list-style-type: none"> <li>E.g. 2.5" SAS can sustain ~180 IOPS, 3.5" 15k rpm SCSI sustains ~130 IOPS</li> </ul> </li> </ul>
Throughput	Performance Monitor <ul style="list-style-type: none"> <li>Disk read/write bytes per second</li> </ul>	<ul style="list-style-type: none"> <li>Bytes throughput per second</li> <li>Beware of B vs b <ul style="list-style-type: none"> <li>1Gb/s ~ 125MB/s</li> <li>3Gb/s ~ 300MB/s</li> </ul> </li> </ul>
Latency	Performance Monitor <ul style="list-style-type: none"> <li>Average disk sec per read/write</li> </ul>	<ul style="list-style-type: none"> <li>Latency of I/O operations</li> <li>Typically<sup>1</sup> <ul style="list-style-type: none"> <li>Log: 1-5ms (ideally &lt;1ms)</li> <li>Data (OLTP): 5-20ms (ideally &lt;10ms)</li> <li>Data (DSS): 20-30ms</li> </ul> </li> </ul>
Queuing	Performance Monitor <ul style="list-style-type: none"> <li>Logical/Physical disk: Average disk queue length</li> </ul>	<ul style="list-style-type: none"> <li>Number of I/O requests that cannot be serviced immediately</li> <li>Value is measured across all disks for direct attached storage</li> </ul>

<sup>1</sup> SQL Server Pre-deployment IO Best Practices

# Memory

- **Next in-line in the list of common performance bottlenecks**
- **Difficult to really determine how much is enough given SQL Server's memory management approach**
  - Measure in reverse; look for pressure
- **Further complicated with NUMA servers**
  - One or more nodes may have severe memory pressure while other nodes are idle
- **Often result in performance problem symptoms in other areas**
- **Start with the basics**
  - Available free memory
  - Memory pressure hints

# Memory

Metric	Data source	Considerations
Available free memory	Performance Monitor <ul style="list-style-type: none"> <li>• Memory:Available Mbytes</li> <li>• SQLServer:BufferManager\Free Pages</li> </ul>	<ul style="list-style-type: none"> <li>• Tracks available system memory for use</li> <li>• Total number of pages on the free list</li> </ul>
Memory pressure hints	Performance Monitor <ul style="list-style-type: none"> <li>• SQLServer:BufferManager\Free list stalls /sec</li> <li>• SQLServer:Memory Manager\Target Server Memory (KB) and SQLServer:Memory Manager\Total Server Memory (KB)</li> </ul> DMV <ul style="list-style-type: none"> <li>• Sys.dm_os_wait_stats</li> </ul>	<ul style="list-style-type: none"> <li>• Tracks potential memory pressure by measuring number of free page requests that are not granted immediately</li> <li>• Track what SQL Server thinks it could use vs what it current is able to use</li> <li>• Track memory grants pending</li> </ul>

# Be careful with these commonly “recommended” counters

- **Often recommended in public forums, blogs, events as counters to determine if memory is adequate**
  - Cache hit ratio >90%
    - Highly workload dependent – if the queries do not touch the same data, hit ratio will be low but this is not a clear indicator of where the performance problem might be
  - Page life expectancy >300
    - Can be workload dependent and behavior is similar to cache hit ratios
  - Memory grants pending <2
    - Theoretically tracks memory requests pending but this can be caused by contention (e.g. blocking), not just lack of memory
- **Correlate multiple data point; don't just measure one**

# CPU

- **Sustained high activity may or may not indicate bottlenecks or problems**
- **Hardware faults can sometimes result in high CPU utilization and/or context switches**
- **Start with the basics**
  - Overall utilization
  - CPU pressure hints

# CPU

Metric	Data source	Considerations
Overall utilization	Performance Monitor • Processor:%Processor Time	<ul style="list-style-type: none"><li>• Amount of time the CPUs are actually doing work across the entire system</li><li>• Value is for all CPUs in the system</li></ul>
CPU pressure hints	Performance Monitor • System:Processor Queue Length	<ul style="list-style-type: none"><li>• Total number of threads awaiting CPU resource</li><li>• Value is for all CPUs in the system</li></ul>

# Network

- **Usually one of the easiest resources to manage for performance**
- **Start with the basics**
  - Overall utilization
  - Device errors
- **Be careful with some commonly recommended counters**
  - Output queue length
    - Dependent on operating system implementation (E.g. always zero in Vista)



# Network

Metric	Data source	Considerations
Overall utilization	Performance Monitor • Network Interface: Bytes Total /sec	• Measures volume of data sent and received by each network adapter or cumulative for all NICs in the system
Device errors	Windows Event Log • System Logs: network device errors	• Non-critical hardware faults are logged here • Critical hardware faults can result in blue-screen

# Agenda

- Understanding performance
- Measuring and tracking
- Interpreting the data
- Practical solutions

# Interpreting the data: storage\*

Data	Considerations
<ul style="list-style-type: none"> <li>• Average disk read/write per second</li> <li>• Disk read/write bytes per second</li> </ul>	<ul style="list-style-type: none"> <li>• Ensure system requirements are less than storage capacity</li> <li>• If using SAN, ensure SAN cache can flush to disk faster then workload requests fills up SAN cache</li> <li>• Beware of B vs b for bytes/second                         <ul style="list-style-type: none"> <li>• 1Gb/s ~ 125MB/s</li> <li>• 3Gb/s ~ 300MB/s</li> </ul> </li> </ul>
<ul style="list-style-type: none"> <li>• Average disk sec per read/write</li> </ul>	<ul style="list-style-type: none"> <li>• Hardware and configuration can affect values</li> <li>• Log: 1-5ms (ideally &lt;1ms)                         <ul style="list-style-type: none"> <li>• Minimal tolerance for exceeding 5ms</li> </ul> </li> <li>• Data (OLTP): 5-20ms (ideally &lt;10ms)                         <ul style="list-style-type: none"> <li>• Moderate tolerance levels dependent on application</li> </ul> </li> <li>• Data (DSS): 20-30ms                         <ul style="list-style-type: none"> <li>• Higher values are not uncommon and may not be a performance issue but try to keep &lt;50ms</li> </ul> </li> </ul>
<ul style="list-style-type: none"> <li>• Logical/Physical disk: Average disk queue length</li> </ul>	<ul style="list-style-type: none"> <li>• Use logical value for SAN devices and corroborate with SAN data</li> </ul>

**\*Beware of polling intervals**

# Interpreting the data: storage

- Problems with storage can result in many different symptoms across the system
- General statements like
  - “...storage performance problem...”*
  - “...query timed out...”*is not helpful in most modern systems – get the specifics
  - HBA
    - Ports
    - Cache
    - Disks
  - Switch
  - SAN

## Case study 2 – blame SQL Server scalability

- Top 10 largest banks in the US
- Commercial customers system upgrade SQL Server & hardware
- Phase 1 (SQL Server) stable – tens of millions transacted daily
- Phase 2 (new hardware) nearing rollout; expecting 200% increase in customer base within 6-12 months then stable
- Second largest possible server in the market (at the time) – sized to handle ~10x growth
- Top of the line SAN – sized to handle at least 12x growth
- POC stress test consistently collapses at 3x ~ 4x load
  - Blamed SQL Server scalability; suggestions to use **Other** database
  - All other parties claim no-fault

# Case study 2 – blame SQL Server scalability

- **POC background**

- Realistic workload capture/replay
- Server burn-in tests onsite (memory, CPU, peripherals)
- Theoretical maximum throughput & capacity for SAN calculated
  - Alerted sponsor and vendor that SAN is significantly under configured – dismissed by vendor citing POC team lacks knowledge on brand new SAN
- Actual SAN capacity tests onsite (IOPS, MB/s, latency)
  - Basic tests support POC team's calculations

- **Full POC tests fails with multiple indicators pointing to SAN overload**

- 1.5 days before SAN team finally concede they had erred in their data collection and interpretation (neglected to mention original design error)
- This was with detailed documentation and extensive data

- **Re-designed SAN with POC team guidance supported new stress tests up to 7x workload – load generators exhausted**

# Case study 2 – blame SQL Server scalability

- **Chasing symptoms**

- Query timeouts
- CXPacket waits – someone read on a blog that this was bad
- Context switching
- Compilation & re-compilations – apparently this is bad too
- Load generators' CPUs constantly ~90%
- Application's history of performance issues – send developers for ~~flaming~~ ~~logging~~ training

- **Root cause**

- Storage unable to keep up
  - Overlapping stripes – same HDDs striped twice to create LUN
  - Over estimated SAN cache capacities – not enough HDDs to flush cache quickly



# Interpreting the data: memory

Data	Considerations
<ul style="list-style-type: none"> <li>• Memory: Available Mbytes</li> <li>• SQLServer:BufferManager\Free Pages</li> <li>• SQLServer:BufferManager\Free list stalls /sec</li> <li>• SQLServer:Memory Manager\Target Server Memory (KB) and SQLServer:Memory Manager\Total Server Memory (KB)</li> </ul>	<ul style="list-style-type: none"> <li>• Appropriate values dependent on type of application and volatility of query activity</li> <li>• Reasonable starting points                         <ul style="list-style-type: none"> <li>• Available Mbytes: &gt;50</li> <li>• Free pages: &gt;640</li> <li>• Free list stalls: &lt;2</li> <li>• Target/total memory: dependent on total physical memory on server and number/type of workloads active</li> </ul> </li> </ul>
<ul style="list-style-type: none"> <li>• SQLServer:Buffer Node\Free pages</li> <li>• SQLServer:Buffer Node\Foreign pages</li> <li>• SQLServer:Buffer Node\Remote node page lookups /sec</li> </ul>	<ul style="list-style-type: none"> <li>• Additional counters to monitor for NUMA based servers</li> <li>• Local node memory usage and cross-node usage dependent on application behavior                         <ul style="list-style-type: none"> <li>• SQL Server tries to work efficiently within local node but application design and/or behavior can prevent this</li> </ul> </li> </ul>

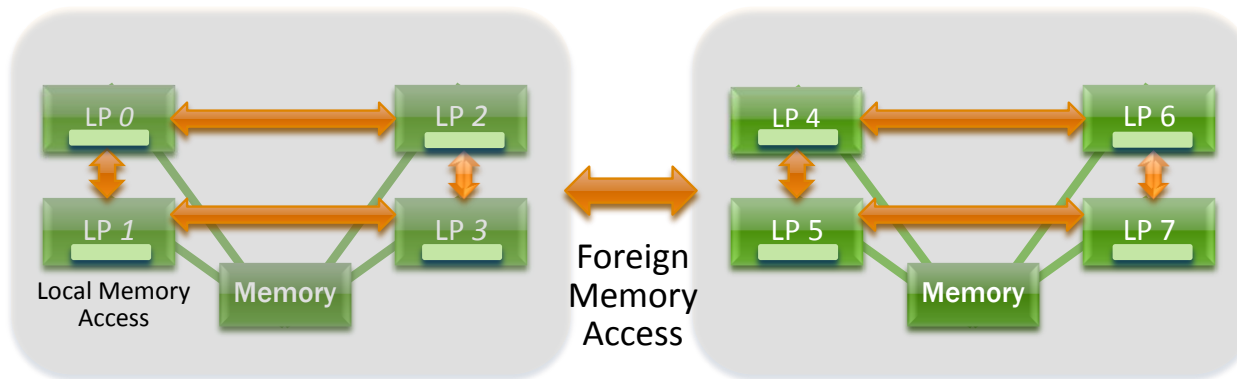
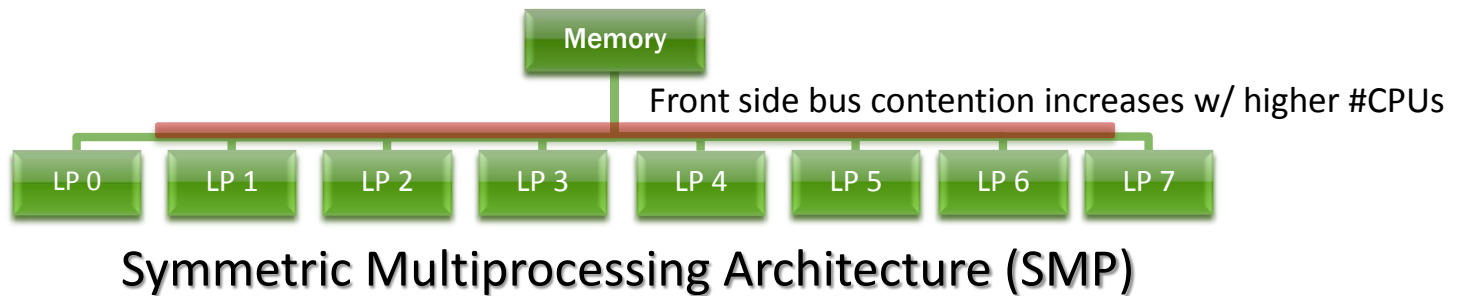
## Case study 3 – blame SQL Server scalability

- **Major retail chain running sales monitoring and inventory tracking application**
- **Regular performance degradation but non-discernable pattern or root causes**
- **System review indicates storage IO bottlenecks**
  - CPU utilization low to moderate
  - Overall memory utilization low to moderate
- **Upgraded SAN; storage IO bottlenecks removed**
- **Performance issues persist**

## Case study 3 – blame SQL Server scalability

- **Engaged specialists for detailed system review**
  - Occasional storage bottlenecks still exist
  - 1-2 out of 4 NUMA nodes experiences memory pressure routinely
    - Induced by application design and data patterns
      - Manually managed workload/worker threads
      - Long running transactions
      - Vastly different data volume from hundreds of sources – from few MB to few GB

# NUMA



## Non-Uniform Memory Access (NUMA)

Foreign memory access >> local memory access

# Interpreting the data: CPU

Data	Considerations
• Processor:%Processor Time	• Reasonable starting point • <80% but highly dependent on nature of application and spike values
• System:Processor Queue Length	• Reasonable starting point • <10 per logical CPU

# Interpreting the data: network

Data	Considerations
<ul style="list-style-type: none"><li>• Network Interface: Bytes Total /sec</li></ul>	<ul style="list-style-type: none"><li>• Compare values to NIC capacity</li><li>• Again, beware of B vs b</li></ul>
<ul style="list-style-type: none"><li>• System Logs: network device errors</li></ul>	<ul style="list-style-type: none"><li>• Device errors are bad news</li></ul>

# What about other counters/waitstats?

- **There are many more you can monitoring and analyze**
  - Queries and query plans
  - Filestats
  - Waitstats
  - Index usage
  - Statistics
  - Extended events
- **These will help you identify the root cause of the problems**
  - Always use both resource utilization data with waitstats data in the context of the specific application

# Agenda

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# Non-expert solutions

- **Default reports**
  - Build your own once you're familiar with it
  - Don't run this too frequently
- **Profiler (or SQL Trace) + Performance Monitor**
  - Correlate data from these tools
- **Performance data warehouse or scheduled DMV snapshots**

# Dynamic Management Views (DMVs)

- Really useful once you get past the basics; for example:
- Top 50 statements by IO

# Top 50 queries by IO

```
SELECT TOP 50
    (qs.total_logical_reads + qs.total_logical_writes)
    /qs.execution_count
    as [Avg IO]
    , substring (qt.text,qs.statement_start_offset/2
    , (case when qs.statement_end_offset = -1
        then len(convert(nvarchar(max), qt.text)) * 2
        else qs.statement_end_offset end -
        qs.statement_start_offset)/2) as query_text
    , qt.dbid
    , qt.objectid
FROM sys.dm_exec_query_stats qs
CROSS APPLY sys.dm_exec_sql_text (qs.sql_handle) as qt
ORDER BY [Avg IO] DESC
```

# IO waits per file

```
select database_id  
      , file_id  
      , io_stall,io_pending_ms_ticks  
      , scheduler_address  
from sys.dm_io_virtual_file_stats (NULL, NULL) as t1,  
     sys.dm_io_pending_io_requests as t2  
where t1.file_handle = t2.io_handle
```

# TempDB usage tasks and SQL

```
SELECT t1.session_id,  
       (t1.internal_objects_alloc_page_count + task_alloc) as allocated,  
       (t1.internal_objects_dealloc_page_count + task_dealloc) as  
       deallocated, t3.sql_handle  
from sys.dm_db_session_space_usage as t1,  
      sys.dm_exec_requests t3,  
(select session_id,  
         sum(internal_objects_alloc_page_count)  
         as task_alloc,  
         sum (internal_objects_dealloc_page_count) as  
         task_dealloc  
   from sys.dm_db_task_space_usage group by session_id) as t2  
where t1.session_id = t2.session_id and t1.session_id >50  
and t1.database_id = 2    --- tempdb is database_id=2  
and t1.session_id = t3.session_id  
order by allocated DESC
```

# Indexes that are not used

```
SELECT object_name(i.object_id), i.name
FROM sys.indexes i, sys.objects o
WHERE i.index_id NOT IN
      (select s.index_id
       FROM sys.dm_db_index_usage_stats s
       WHERE s.object_id=i.object_id
       AND i.index_id=s.index_id
       AND database_id = <dbid> )
AND o.type = 'U'
AND o.object_id = i.object_id
ORDER BY object_name(i.object_id) ASC
```

# Hardware setup

- **Hardware vendors have built-in tests for most environments**
- **More thorough testing can be performed by vendor or certified partners**

## Case study 4 – blame unproven technologies

- **Global bank with extensive SQL Server and other database deployments**
- **Major SQL Server infrastructure overhaul and consolidation preparation**
  - Largest x64 server in the market – fully loaded
  - Latest enterprise SAN – almost fully loaded
  - Windows Server 2008 R2 and SQL Server 2008
- **HA and DR by design**
  - Large multi-node failover cluster
  - SAN replication for DR



## Case study 4 – blame unproven technologies

- **System design reviewed and approved by specialists from MS**
  - Secondary review and approval by independent SQL Server experts
- **Servers & storage setup and configured by specialists from hardware principal and SI partner**
  - All the latest & greatest from server DIMM to physical HDD
- **Never ending problems with performance (right from the start)**
  - Unable to complete even one full cycle of performance testing
  - Intermittent cluster failures, occasional system dumps, several bluescreens
  - Some failures require full system rebuild – 2 days each time
- **Unable to even hand-over to QA team after 2 months**

# Case study 4 – blame unproven technologies

- **Chasing symptoms**

- Software setup taking a really long time – blamed storage performance
- Bluescreens – blamed hardware, Windows
- Intermittent cluster failures – blamed use of latest and greatest of everything
- Never before seen such high level of instability/problems – blamed everybody (including performance test team for system overload)

- **Root cause**

- Poorly seated components in server discovered with hardware diagnostic tools ~ 2 days work
  - Strip, rebuild, burn-in, full-test – handover to QA in < 2 weeks

# Summary

- **Performance monitoring and troubleshooting can be done by the average Joe**
- **Baseline data is critical**
- **Start with the basics**
  - Capture everything including the kitchen sink approach is very intrusive and usually not helpful
    - If you must capture everything (sometimes it's right), analyze in layers
- **Do not draw conclusions based on singular data points or using raw data by itself**
- **Leverage what already exists before creating**
- **What and how are easy questions, why and why not are the tough questions**

# Resources

- **Troubleshooting performance problems in**
  - SQL Server 2008  
<http://msdn.microsoft.com/en-us/library/dd672789.aspx>
  - SQL Server 2005  
<http://technet.microsoft.com/en-us/library/cc966540.aspx>
- **SQL Server Pre-deployment IO Best Practices**
  - <http://sqlcat.com/whitepapers/archive/2008/10/03/running-sql-server-2008-in-a-hyper-v-environment-best-practices-and-performance-recommendations.aspx>
- **SQL Server waits and queues**
  - <http://sqlcat.com/whitepapers/archive/2007/11/19/sql-server-2005-waits-and-queues.aspx>
- **SQLCAT best practices and high watermark customer experiences**
  - <http://sqlcat.com/Default.aspx>

# Questions?

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