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# Performance Tuning in SQL2005

孙巍

技术总监

北京中达金桥技术服务有限公司

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# 收听本次课程需具备的条件

- SQL Engine
- CPU
- Memory
- IO

## Level 300

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# Agenda

- Introduction
- Common causes of performance issues
  - Resource bottleneck

# Introduction

- Goal
  - General methodology for perf tuning
- Tools
  - Perfmon
  - Profiler
  - Dynamic Management Views (DMVs)
  - DBCC commands

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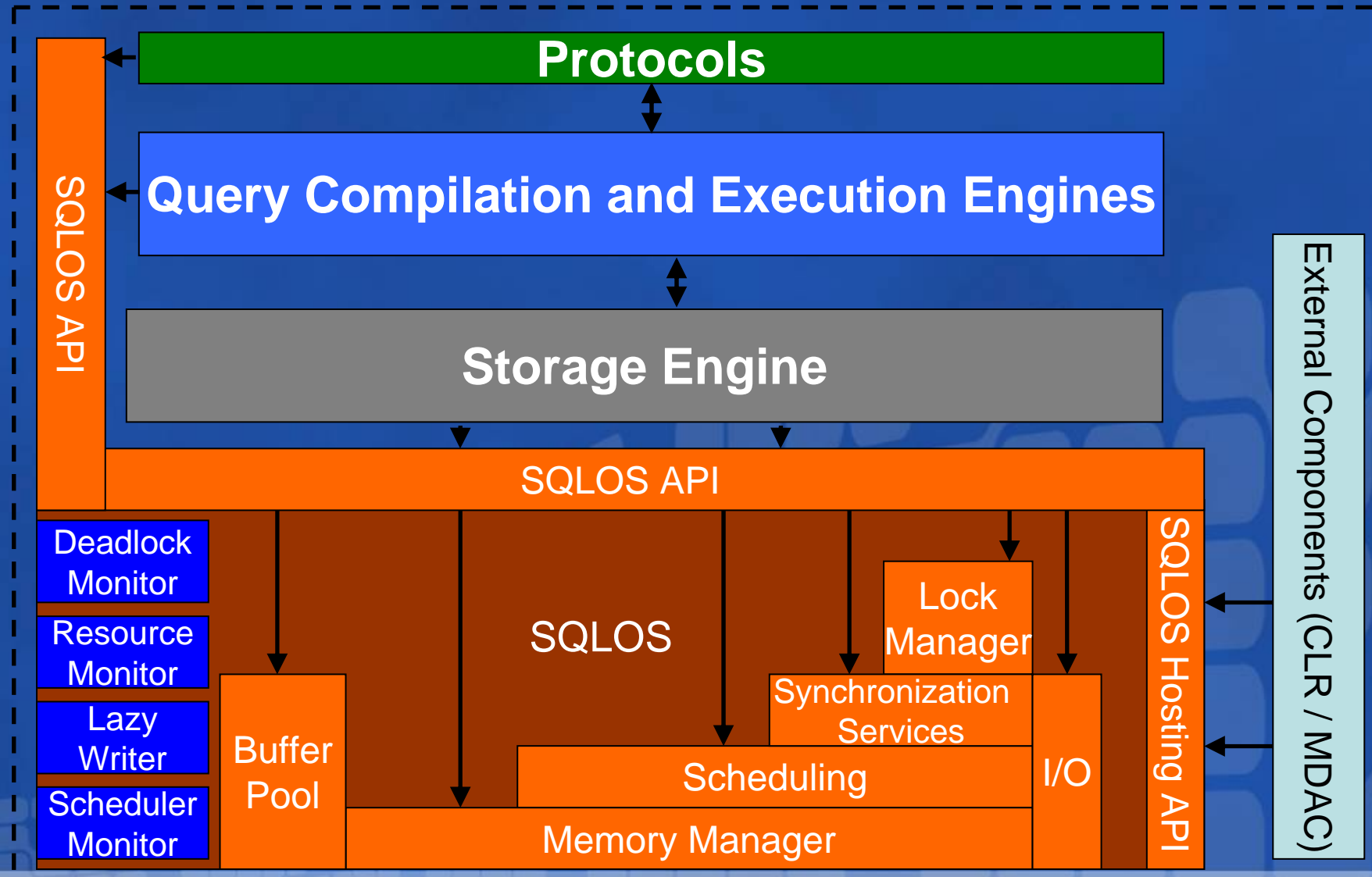
# Performance Diagnostics

- Resource Bottleneck
  - CPU
  - Memory
  - IO

# SQL Engine Overview

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# CPU Bottleneck

- High CPU usage or usage jump
  - Find out from Perfmon or Taskmgr
- Find out queries use most CPU

select top 50

sum(qs.total\_worker\_time) as TotalCPUTime,

sum(qs.execution\_count) as TotalExecutionCount,

count(\*) as NumberOfStatements,

qs.plan\_handle

from sys.dm\_exec\_query\_stats qs

group by qs.plan\_handle

order by sum(qs.total\_worker\_time) desc

# Common causes for high CPU usage: recompile

- Excessive compilation/recompilation
  - Compilation: produce query execution plan
  - Recompilation: to update query plan
  - SQL2000 recompiles the whole stored procedure; SQL2005 can do statement level recompile
- What triggers recompile?
  - Schema change; Statistics change; Set option change
  - Deferred compile; temp table change; SP created with RECOMPILE or option used



# Common causes for high CPU usage: recompile

- How to detect excessive recompilation
  - Perfmon counters
    - Batch Requests/sec
    - SQL Compilations/sec
    - SQL Recompilations/sec
    - Ration of recompile to batch requests should be very low

# Common causes for high CPU usage: recompile

- How to detect excessive recompilation (cont.)
  - SQL Trace
    - SP:Recompile & SQL:StmtRecompile event
    - provide more information  
ObjectID, Spid, Start time, sqlhandle, Text Data
    - Can query trace data  
Select spid, StartTime, Textdata, EventSubclass, ObjectID, DatabaseID, SQLHandle  
from fn\_trace\_gettable ( 'e:\recompiletrace.trc' , 1)  
where EventClass in(37,75,166)  
// EventClass 37 = Sp:Recompile, 75 = CursorRecompile,  
166=SQL:StmtRecompile
    - Showplan XML For Query Compile

# Common causes for high CPU usage: recompile

- How to detect excessive recompilation (cont.)

- Dynamic Management Views (DMVs)

- Find the top 25 recompiled stored procedures

- select top 25

- SQLText.text, sql\_handle, plan\_generation\_num,  
execution\_count, dbid, objectid

- from sys.dm\_exec\_query\_stats a

- Cross apply sys.dm\_exec\_sql\_text(sql\_handle) as SQLText  
where plan\_generation\_num >1

- order by plan\_generation\_num desc

- Find the time used for query optimization

- Select \* from sys.dm\_exec\_query\_optimizer\_info

# Common causes for high CPU usage: recompile

- Resolution for excessive recompile
  - If it's due to set option change
    - Avoid change set option in SP; minimize change during connection
  - If it's on temp table
    - Lower recompile threshold for temp table
    - Change temp table to use table variable or use KEEP PLAN query hint
  - Use qualified name to avoid recompilation and ambiguity
    - Select \* from dbo.table1



## Common causes for high CPU usage: recompile

- Resolution for excessive recompile (cont.)
  - If it's due to deferred compile
    - Avoid interleave DML and DDL statements
    - Avoid use DDL in conditional clause like IF
  - Run Database Tuning Advisor to see if any indexing changes improve the compile time and the execution time of the query
  - Review query and SP using recompile option
    - Try to limit recompile to statement level in SQL2005



## Common causes for high CPU usage: inefficient query plan

- SQL server optimizer tries to find a plan for the fastest response time
- Hash join and sort operation can be CPU intensive
- Nested loop join is usually IO bound
- Inaccurate estimation for cardinality of operators could result inefficient plan

## Common causes for high CPU usage: inefficient query plan

- How to detect
  - Find queries use most cumulative CPU through `dm_exec_query_stats`
  - Look for CPU intensive operators through `dm_exec_cached_plans`
  - Use Profiler to check for Performance Statistics trace event

# Common causes for high CPU usage: inefficient query plan

- Resolution
  - Use Database Tuning Advisor to see if it produces any index recommendations
  - Avoid bad cardinality estimates
    - Write query with WHERE clause to narrow down
    - Run UPDATE STATISTICS
    - Modify query
  - SQL2005 has new plan guide for query hints
    - OPTION (OPTIMIZE FOR)
    - OPTION (FORCE ORDER)
    - OPTION (USE PLAN)

## Common causes for high CPU usage: Intra-Query Parallelism

- A parallel query plan uses multiple threads to process the query, to take advantage of multiple CPUs
- Can be custom configured using
  - Server level: max degree of parallelism option
  - Per query level: OPTION (MAXDOP) hint
- Situation could change after parallel plan is chosen
  - New CPU intensive query could come in
  - Shorter CPU time slice



# Common causes for high CPU usage: Intra-Query Parallelism

- How to detect:
    - Perfmon:
      - Process - % Processor Time – sqlservr.exe
    - DMVs:
      - Find query plans that may run in parallel
- ```
select p.*, q.*, cp.plan_handle
from sys.dm_exec_cached_plans cp
cross apply sys.dm_exec_query_plan(cp.plan_handle) p
cross apply sys.dm_exec_sql_text(cp.plan_handle) as q
where
cp.cacheobjtype = 'Compiled Plan' and
p.query_plan.value('declare namespace
p="http://schemas.microsoft.com/sqlserver/2004/07/showplan";
max(//p:RelOp/@Parallel)', 'float') > 0
```



## Common causes for high CPU usage: Intra-Query Parallelism

- How to detect: (cont.)
  - DMVs:
    - Only parallel query could use more CPU time than the elapsed time
    - Find such queries

```
select qs.sql_handle, qs.statement_start_offset,  
       qs.statement_end_offset, q.dbid, q.objectid, q.number,  
       q.encrypted, q.text  
from sys.dm_exec_query_stats qs  
     cross apply sys.dm_exec_sql_text(qs.plan_handle) as q  
where qs.total_worker_time > qs.total_elapsed_time
```

# Common causes for high CPU usage: Intra-Query Parallelism

- How to detect: (cont.)
  - SQL Traces:
    - Showplans that have Parallelism operators  
Select EventClass, StmtText  
from ::fn\_trace\_gettable('c:\temp\high\_cpu\_trace.trc', default)  
Where StmtText LIKE '%Parallelism%'
    - Parallel query use more CPU time than the elapsed time  
Select EventClass, StmtText  
from ::fn\_trace\_gettable('c:\temp\high\_cpu\_trace.trc', default)  
Where EventClass in (10, 12)  
-- RPC:Completed, SQL:BatchCompleted  
And CPU > Duration/1000  
-- CPU is in milliseconds, Duration in microseconds

# Common causes for high CPU usage: Intra-Query Parallelism

- Resolution
  - parallel plan is chosen when it exceeds the cost threshold of parallelism
  - Use the Database Tuning Advisor to reduce the cost of query
  - Avoid inaccurate cardinality estimate
    - No MISSING STATS warnings in showplan output
    - Run UPDATE STATISTICS
    - Limit usage of query construct which optimizer can't estimate accurately
      - Multiple table valued function, CLR function, table variables,
  - Rewrite query in more efficient way

# Memory Bottleneck

- Memory architecture overview
  - Terms:
    - Virtual Address Space
    - Page file
    - Physical Memory
    - AWE

# Memory Bottleneck

- Tools
  - Perfmon
  - DMVs
  - DBCC MEMORYSTATUS
  - Taskmgr
  - Event Viewer, application & system logs



# Memory Bottleneck

- Different types of memory pressure
  - External memory pressure
    - Use Taskmgr tool, under performance tab
    - “Available Physical Memory” < 50MB
    - Check Page File size
      - Total commit charge amount exceeds physical memory
      - Page file size is over 2 times of physical memory
  - Need to identify processes consuming most memory
    - Use Taskmgr tool, under process tab, mem usage column
    - Use Perfmon, select Process under Performance Object, select Working Set performance counter
    - Doesn't show AWE memory

# Memory Bottleneck

- Different types of memory pressure
  - Internal memory pressure
    - Memory distribution inside SQL server
    - DBCC MEMORYSTATUS

| Buffer Counts         | Buffers |
|-----------------------|---------|
| -----                 | -----   |
| Committed             | 201120  |
| Target                | 201120  |
| Hashed                | 166517  |
| Reserved Potential    | 143388  |
| Stolen Potential      | 173556  |
| External Reservation  | 0       |
| Min Free              | 256     |
| Visible               | 201120  |
| Available Paging File | 460640  |

- Target is # of 8K pages buffer pool deems optimal
- Buffer pool usually is the largest memory consumer under load

# Memory Bottleneck

- Different types of memory pressure
  - Internal memory pressure (cont.)
    - Other components use buffer pool for small memory allocations
    - If allocation > 8Kb, use the multi-page allocator interface (memory outside of buffer pool)  
select type, sum(multi\_pages\_kb)  
from sys.dm\_os\_memory\_clerks  
where multi\_pages\_kb != 0  
group by type
    - COM objects and linked servers use memory from outside of the buffer pool
    - Need to investigate if multi-page allocation >200MB

# Memory Bottleneck

- Internal memory pressure (cont.)
  - Memory consumption by all components  
select \* from sys.dm\_os\_memory\_clerks
  - Use script to show major consumers

Total allocated/reserved: 1763 Kb

| Component | Mem allocated/reserved, Mb |
|-----------|----------------------------|
|-----------|----------------------------|

|                           |      |
|---------------------------|------|
| MEMORYCLERK_SQLBUFFERPOOL | 1585 |
|---------------------------|------|

|       |     |
|-------|-----|
| Other | 177 |
|-------|-----|

| Component | Mem allocated/reserved, Mb |
|-----------|----------------------------|
|-----------|----------------------------|

|                           |      |
|---------------------------|------|
| MEMORYCLERK_SQLBUFFERPOOL | 1585 |
|---------------------------|------|

|                     |    |
|---------------------|----|
| USERSTORE_TOKENPERM | 78 |
|---------------------|----|

|                     |    |
|---------------------|----|
| MEMORYCLERK_SOSNODE | 32 |
|---------------------|----|

|                  |    |
|------------------|----|
| CACHESTORE_SQLCP | 15 |
|------------------|----|

|                     |    |
|---------------------|----|
| USERSTORE_SCHEMAMGR | 14 |
|---------------------|----|



# Memory Bottleneck

- Internal memory pressure (cont.)
  - Ring buffer DMV  
select record from sys.dm\_os\_ring\_buffers  
where ring\_buffer\_type =  
'RING\_BUFFER\_RESOURCE\_MONITOR'
  - Track Out Of Memory conditions  
select record from sys.dm\_os\_ring\_buffers  
where ring\_buffer\_type = 'RING\_BUFFER\_OOM'
  - Sample record  
<Record id="7301" type="RING\_BUFFER\_OOM" time="345640123">  
<OOM>  
<Action>**FAIL\_VIRTUAL\_COMMIT**</Action>  
<Resources>**4096**</Resources>  
</OOM>



# Memory Bottleneck

- Internal memory pressure (cont.)
  - With low memory condition
    - Buffer pool shrinks
    - Turns on low memory notification for other components
  - Query RING\_BUFFER\_MEMORY\_BROKER

```
select * FROM sys.dm_os_ring_buffers WHERE
ring_buffer_type =
'RING_BUFFER_MEMORY_BROKER'
```

# Memory Bottleneck

- Internal memory pressure (cont.)
  - Virtual address space consumption
    - Find available memory in all free regions  

```
SELECT SUM(Size*Free)/1024  
  AS [Total avail mem, KB]  
FROM VASummary WHERE Free <> 0
```
    - Get size of largest available region  

```
SELECT CAST(MAX(Size) AS INT)/1024  
  AS [Max free size, KB]  
FROM VASummary WHERE Free <> 0
```
    - If largest region < 4MB, likely VM pressure

# Memory Bottleneck

- Trouble shooting for common memory errors
  - Is server under external memory pressure?
  - Collect performance monitor counters for SQL Server: Buffer Manager, SQL Server: Memory Manager
  - Verify memory configuration parameters (sp\_configure)
    - 'min memory per query', 'min/max server memory',
    - 'awe enabled', 'Lock pages in memory' privilege
  - Is server under internal memory pressures?
  - DBCC MEMORYSTATUS
  - Check workload (# of concurrent sessions, # of queries)

# Memory Bottleneck

- Common memory errors
  - 701: There is insufficient system memory to run this query
  - Indicates a failed memory allocation
  - Need to check for server memory distribution
  - Solution:
    - Remove external memory pressure
    - Increase server max memory setting
    - Free caches

# Memory Bottleneck

- Common memory errors (cont.)
  - 802: There is insufficient memory available in the buffer pool
  - May not indicate out of memory
  - Buffer pool memory could be used by other components
  - Troubleshooting and solution:
    - Similar to 701 error



# Memory Bottleneck

- Common memory errors (cont.)
  - 8628: A time out occurred while waiting to optimize the query. Rerun the query
  - Indicates failed memory acquisition during query compilation
  - Troubleshooting and solution:
    - Use general troubleshooting steps
    - DBCC memormystatus
    - Reduce workload if possible

# Memory Bottleneck

- Common memory errors (cont.)
  - **8645:** A time out occurred while waiting for memory resources to execute the query. Rerun the query
  - Indicates many concurrent memory intensive queries being executed on the server
    - Sort (order by), join and parallel queries are memory intensive
  - Troubleshooting and solution:
    - Use general troubleshooting steps
    - Identify problematic queries
    - Check sp\_configure parameters

# IO Bottleneck

- How to detect
  - Perfmon
    - PhysicalDisk Object: Avg. Disk Queue Length > 2
    - Avg. Disk Sec/Read > 0.12, Avg. Disk Sec/Write > 0.12
    - %Disk Time > 50%
    - Avg. Disk Reads/Sec > 85%, Avg. Disk Writes/Sec > 85%
  - Adjustment for RAID
    - Raid 0 -- I/Os per disk = (reads + writes) / number of disks
    - Raid 1 -- I/Os per disk = [reads + (2 \* writes)] / 2
    - Raid 5 -- I/Os per disk = [reads + (4 \* writes)] / number of disks
    - Raid 10 -- I/Os per disk = [reads + (2 \* writes)] / number of disks

# IO Bottleneck

- How to detect (cont.)
  - Latch wait

- Physical IO wait when reading and writing buffer pages

```
select wait_type, waiting_tasks_count, wait_time_ms
from sys.dm_os_wait_stats
where wait_type like 'PAGEIOLATCH%'
order by wait_type
```

- Output

| wait_type      | waiting_tasks_count | wait_time_ms | signal_wait_time_ms |
|----------------|---------------------|--------------|---------------------|
| PAGEIOLATCH_DT | 0                   | 0            | 0                   |
| PAGEIOLATCH_EX | 1230                | 791          | 11                  |
| PAGEIOLATCH_KP | 0                   | 0            | 0                   |
| PAGEIOLATCH_NL | 0                   | 0            | 0                   |
| PAGEIOLATCH_SH | 13756               | 7241         | 180                 |
| PAGEIOLATCH_UP | 80                  | 66           | 0                   |



# IO Bottleneck

- How to detect (cont.)

- Pending IO request

```
select database_id, file_id, io_stall, io_pending_ms_ticks,  
       scheduler_address  
from sys.dm_io_virtual_file_stats(NULL, NULL) t1,  
     sys.dm_io_pending_io_requests as t2  
where t1.file_handle = t2.io_handle
```

- Pending IO request

| <i>Database_id</i> | <i>File_Id</i> | <i>io_stall</i> | <i>io_pending_ms_ticks</i> | <i>scheduler_address</i> |
|--------------------|----------------|-----------------|----------------------------|--------------------------|
| 6                  | 1              | 10804           | 78                         | 0x0227A040               |
| 6                  | 1              | 10804           | 78                         | 0x0227A040               |
| 6                  | 2              | 101451          | 31                         | 0x02720040               |



# IO Bottleneck

- Resolution:

- Find out queries generating most IO

```
select top 5 (total_logical_reads/execution_count) as
    Avg_logical_reads,
            (total_logical_writes/execution_count) as
    Avg_logical_writes,
            (total_physical_reads/execution_count) as Avg_physical_reads,
    Execution_count, statement_start_offset, sql_handle,
    plan_handle
from sys.dm_exec_query_stats
order by (total_logical_reads + total_logical_writes)/execution_count
Desc
```

- Examine IO intensive query plans
    - Choose better plan to minimize IO
    - Use Database Tuning Advisor

# IO Bottleneck

- Resolution:
  - Check memory configuration
    - Buffer Cache hit ratio
    - Page Life Expectancy
    - Checkpoint pages/sec
    - Lazywrites/sec
  - Increase IO bandwidth
    - Add more disk drives and replace with faster drives
    - Add faster or additional disk controller

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# Q&A


- A lot of information
- See BOL DMV documentation
- Contents in this slide will be published as white paper at [www.microsoft.com](http://www.microsoft.com)

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在此会议中尚未解答任何问题。

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